

# **Shanghai Henlius Biotech Inc.**

## **Responsible Marketing Policy**

### **1. Purpose**

1.1 Shanghai Henlius Biotech Inc. (hereinafter referred to as "Henlius", the "Company" or "we") strictly complies with business ethics standards and practices responsible marketing. Henlius makes this policy to ensure legal compliance of all marketing practices in communication with various stakeholders and marketing the company's products and services.

### **2. Scope**

2.1 This Policy applies to all employees of the Company, including full-time, part-time, secondment and temporary employees.

### **3. Responsibilities**

3.1 The Board of Directors of Henlius is responsible for reviewing and approving the Responsible Marketing Policy and for regularly supervising and reviewing the marketing performance and progress of achieving goals and targets under the responsibility of the Company.

3.2 The ESG Committee of Henlius is responsible for supervising the implementation of this Policy and ensure the effective implementation of this Policy.

3.3 All business departments of the Company shall work together to actively take actions and continuously support for the effective implementation of this policy.

### **4. Responsible Marketing Audit**

4.1 Audit procedures on responsible marketing are covered in the annual audit of the Company, which review the compliance of the Company's marketing practices.

### **5. Accurate Disclosure of Product and Service Information**

5.1 All marketing activities of the Company shall comply with the relevant laws and regulations and industry standards applicable in the operation region.

5.2 The Company undertakes to strictly prohibit exaggeration, false representation or misleading representation in advertising and sales, and ensure to disseminate accurate and true relevant information about the products and services.

5.3 The Company undertakes not to make false or misleading representation on the price and performance of the products and services for any purpose.

5.4 The Company stipulates that all external marketing materials and meetings held by the Company are subject to internal examination and approval before the implementation, so as to ensure that marketing activities comply with the requirements of responsible marketing of the Company.

5.5 No other persons except for the designated official spokespersons of the Company are permitted to accept media interviews or respond to enquiries from relevant authorities, to promote the Company's image in a compliant manner.

## **6. Compliance on Pharmaceutical Packaging**

6.1 The specifications and package label information of all the pharmaceuticals sold by the Company shall be in accordance with the relevant administrative requirements on pharmaceutical specifications and package label information in the operation region, so as to ensure that the information on specifications and package label information is accurate and complete.

6.2 The Company shall indicate necessary notices or warnings in prominent positions on the packages or in the specifications of pharmaceuticals. The ingredients or excipients that may cause adverse reactions in the pharmaceutical formula shall be clearly and completely explained in the pharmaceutical package insert.

## **7. Privacy Protection**

7.1 The Company is committed to protect the privacy of the customers, consumers and clinical subjects.

## **8. Training**

8.1 The Company carries out promotion of relevant policies and systems of responsible marketing to all employees on an annual basis, so as to ensure that the employees understand, master and comply with the requirements of the relevant policies and systems.

8.2 The Company carries out special compliance training for its commercial marketing team on an annual basis, so as to ensure that its marketing activities comply with the laws and regulations.

## **9. Review and Disclosure**

9.1 This Policy shall be updated once every three years and may be reviewed and updated as and when necessary.

9.2 This Policy will be available for public reference on our website.